

# Negatively Oriented Ideal Triangulations and a Proof of Thurston's Hyperbolic Dehn Filling Theorem

Carlo PETRONIO

Joan PORTI

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**ABSTRACT.** We give a complete proof of Thurston's celebrated hyperbolic Dehn filling theorem, following the ideal triangulation approach of Thurston and Neumann-Zagier. We avoid to assume that a genuine ideal triangulation always exists, using only a partially flat one, obtained by subdividing an Epstein-Penner decomposition. This forces us to deal with negatively oriented tetrahedra. Our analysis of the set of hyperbolic Dehn filling coefficients is elementary and self-contained. In particular, it does not assume smoothness of the complete point in the variety of deformations.

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Thurston's hyperbolic Dehn filling theorem is one of the greatest achievements in the geometric theory of 3-dimensional manifolds, and the basis of innumerable results proved over the last twenty years. Despite these facts, we do not think that a completely satisfactory written account of the proof exists in the literature, and the aim of this note is to help filling a gap which could become embarrassing on the long run. We follow the approach through ideal triangulations, sketched by Thurston in his notes [13] and later used by Neumann and Zagier in their beautiful paper [9], to prove volume estimates on the filled manifolds. However, we modify the argument in [9] under two relevant respects, which we will explain in detail in this introduction, after giving the statement of the result itself. We include both the ordinary and the cone manifold case.

**Theorem 0.1.** *Let  $M$  be an orientable, non-compact, complete, finite-volume hyperbolic 3-manifold. Denote by  $\overline{M}$  the compact manifold of which  $M$  is the interior, and by  $T_1, \dots, T_k$  the tori which constitute  $\partial\overline{M}$ . For all  $i$ , choose a basis  $\lambda_i, \mu_i$  of  $H_1(T_i)$ . Denote by  $C$  the set of coprime pairs of integers, together with a symbol  $\infty$ . For  $c_1, \dots, c_k \in C$  denote by  $M_{c_1 \dots c_k}$  the manifold obtained from  $\overline{M}$  as follows: if  $c_i = \infty$ , remove  $T_i$ ; if  $c_i = (p_i, q_i)$ , glue to  $\overline{M}$  along  $T_i$  the solid torus  $D^2 \times S^1$ , with the meridian  $S^1 \times \{*\}$  being glued to a curve homologous to  $p_i \lambda_i + q_i \mu_i$ . Then:*

1. *There exists a neighbourhood  $\mathcal{F}$  of  $(\infty, \dots, \infty)$  in  $C^k$ , where  $C$  is topologized as a subset of  $S^2 = \mathbb{R}^2 \sqcup \{\infty\}$ , such that for  $(c_1, \dots, c_k) \in \mathcal{F}$  the manifold  $M_{c_1 \dots c_k}$  admits a complete finite-volume hyperbolic structure.*

2. Given any  $c_1, \dots, c_k \in C$ , for small enough positive real numbers  $\vartheta_1, \dots, \vartheta_k$ , the manifold  $M_{c_1 \dots c_k}$  admits the structure of a complete finite-volume hyperbolic cone manifold, with cone locus given by the cores  $\{0\} \times S^1$  of the solid tori glued to the  $T_i$ 's such that  $c_i \neq \infty$ , where the cone angle is  $\vartheta_i$ .

The first difference of our proof with respect to [9] is that we start from a *partially flat* ideal triangulation of  $M$ , namely one in which some of the tetrahedra degenerate into flat quadrilaterals with distinct vertices. The existence of such a triangulation easily follows from a result of Epstein and Penner [5]. The argument in [9] was based on the assertion that  $M$  is itself obtained by Dehn filling from a hyperbolic manifold which admits a *genuine* ideal triangulation. The reader was addressed to a pre-print of Thurston, later published as [14], for the proof of the assertion, but the result appears to be missing in the printed form of Thurston's paper.

Some historical explanation about ideal triangulations is in order here. It was believed for quite some time by several people that the existence of *genuine* ideal triangulations could be proved as an easy consequence of the result of Epstein and Penner [5]. Eventually, this was recognized to be false, and general existence presently appears to be an open problem (see for instance [12] for sufficient conditions based on the Epstein-Penner decomposition, and [15] for experimental evidence). The first named author is responsible, among others, for the spreading of the erroneous belief that [5] implies existence of triangulations. In particular, the proof presented in [1] of Thurston's hyperbolic Dehn filling theorem is incomplete, because it assumes from the beginning that a genuine ideal triangulation exists.

Starting from an ideal triangulation which is partially flat, it becomes inevitable, when deforming the structure, to deal with negatively oriented tetrahedra, *i.e.* to consider positive-measure overlapping of the geometric tetrahedra. The original part of this paper consists of a careful analysis of this overlapping phenomenon. In particular, we explicitly show how to associate to a deformed triangulation a hyperbolic structure on the manifold, and we describe a developing map for this structure. Since our main motivation was to give a proof of Theorem 0.1, we have confined our study to ideal triangulations of the sort which naturally arises when subdividing an Epstein-Penner decomposition. It is probably possible to extend this study to general partially flat triangulations, but we believe that the technical details could be considerably harder (see Section 1).

The second difference with [9] in our approach is that we do not attempt to prove smoothness of the complete point in the deformation space of the hyperbolic structure. In [9] the proof of smoothness again relies on assertions attributed to Thurston, of which no proof (or even exact statement) is explicitly provided. Smoothness can actually be proved in the context of the *representation* rather than *triangulation* approach to deformations, see [7]. As mentioned in [7] and sketched in [13] and [4], the Dehn filling theorem can probably be established using the representation approach only, starting from smoothness near the complete point. However this approach relies on technical cohomology computations, so we have preferred to stick to the more elementary and geometric approach through triangulations. Thurston actually claims that

smoothness can be established also in the context of triangulations, looking carefully at the equations which define the space of deformations (personal communication to the first named author, Berkeley, June 1998). Being unable to provide the details for this argument, we have decided not to establish smoothness, but to modify the proof in [9] to a possibly singular context. Our proof that the set of “good” filling parameters indeed covers a neighbourhood of  $(\infty, \dots, \infty)$  becomes somewhat more involved without assuming smoothness. It uses classical tools from the theory of stratifications and analytic spaces, which appear to be more suited to a local argument than tools coming from algebraic geometry, used for instance in [4].

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## 1 Deformation of partially flat triangulations

We describe in this section how to subdivide an Epstein-Penner decomposition into a partially flat ideal triangulation, and how to associate to a modified choice of the moduli of the tetrahedra a deformed hyperbolic structure.

**Convex ideal cellularization.** Let us fix for the rest of the paper a manifold  $M$  as in the statement of Theorem 0.1. See for instance [1] or [11] for the appropriate definitions, and for the proof that indeed  $M = \text{int}(\overline{M})$  with  $\partial\overline{M} = T_1 \sqcup \dots \sqcup T_k$ . It was proved in [5] that there exist convex ideal polyhedra  $P_\alpha$ ,  $\alpha = 1, \dots, \nu$ , in  $\mathbb{H}^3$  such that  $M$  is obtained from their disjoint union via face-pairings. Each face-pairing will be an isometry  $\varphi_i : F_i \rightarrow F'_i$  between a codimension-1 face  $F_i$  of some  $P_\alpha$  and one such face  $F'_i$  of some other  $P_\alpha$  (possibly the same  $P_\alpha$ , but  $F_i \neq F'_i$ ). Here  $i$  ranges between 1 and half the total number of faces of the  $P_\alpha$ 's. Orientability implies that  $\varphi_i$  reverses the induced orientation, where the  $P_\alpha$ 's are oriented as subsets of  $\mathbb{H}^3$ . One way to express the fact that  $M = \sqcup P_\alpha / \{\varphi_i\}$  is to say that the quotient of  $\sqcup P_\alpha$  under the equivalence relation generated by the  $\varphi_i$  is homeomorphic to  $M$ , and, modulo this homeomorphism, the projection into  $M$  of the interior of each  $P_\alpha$  is an orientation-preserving isometry. The reason for spelling out this definition is that later on we will need to deal with less obvious identification spaces. See [6] for the most general conditions under which a set of face-pairings on a set of polyhedra defines a manifold or an orbifold.

**Partially flat triangulation.** We choose now a vertex  $v_\alpha$  in each  $P_\alpha$ . Moreover, for each of the faces of  $P_\alpha$  not containing  $v_\alpha$ , we choose a vertex, and take cones from this vertex over the edges not containing it, to subdivide the face into triangles. Now we take cones from  $v_\alpha$  over the triangles thus obtained. The result is that  $P_\alpha$  has been

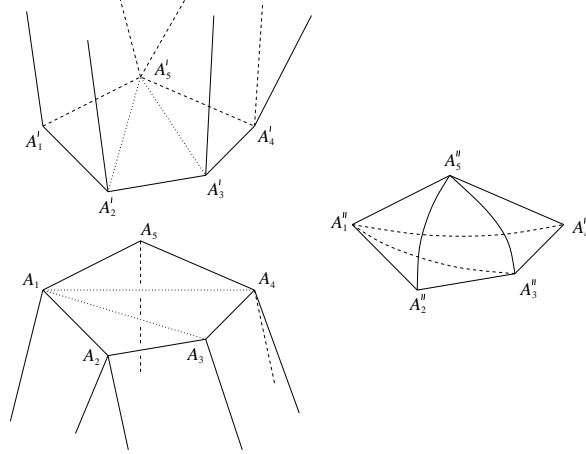


Figure 1: If two paired pentagonal faces as in the figure are subdivided by the dotted lines shown, we add the “flat” tetrahedra  $(A''_1, A''_2, A''_3, A''_5)$  and  $(A''_1, A''_3, A''_4, A''_5)$

subdivided into ideal hyperbolic tetrahedra. It will be convenient to call *facets* the triangles into which the original *faces* of  $P_\alpha$  have been subdivided. If we now consider a face-pairing  $\varphi_i : F_i \rightarrow F'_i$ , it may or not be the case that  $\varphi_i$  respects the subdivisions of  $F_i$  and  $F'_i$  into facets. If subdivisions are not respected, we can insert geometrically flat ideal tetrahedra between  $F_i$  and  $F'_i$ , to reconcile these subdivisions, as sketched in Fig. 1.

To be precise, assume  $F_i$  and  $F'_i$  have been triangulated by taking cones over vertices  $w_i$  and  $w'_i$  respectively. We identify  $\partial F_i$  to  $\partial F'_i$  via  $\varphi_i$ , and refer to some abstract version  $\gamma_i$  of this loop, disjoint from the original polyhedra. If  $w_i = w'_i$  in  $\gamma_i$  then the triangulations of  $F_i$  and  $F'_i$  match, and there is nothing to do. If  $w_i$  and  $w'_i$  are the endpoints of an edge  $e$  of  $\gamma_i$ , as in Fig. 1, then for every edge  $e'$  of  $\gamma_i$  disjoint from  $e$  we add the tetrahedron that is the join of  $e$  and  $e'$ . In the remaining cases the edge between  $w_i$  and  $w'_i$  is an interior edge of both the triangulations of the faces  $F_i$  and  $F'_i$ . Then we divide the faces along this edge and apply twice the previous construction.

From the topological point of view, we are led to consider the ideal triangulation  $\mathcal{T}$  of  $M$  which consists of all the “fat” tetrahedra obtained by subdividing the  $P_\alpha$ , together with the “flat” tetrahedra just inserted. Recall that a *topological ideal triangulation* of  $M$  is just a collection of orientation-reversing simplicial pairings between the faces of a finite number of copies of the standard tetrahedron, with the property that the identification space defined by the pairings is homeomorphic to the space  $\widehat{M}$  obtained from  $\overline{M}$  by collapsing each boundary component to a point. In particular, the name “fat” or “flat”, used for a tetrahedron of  $\mathcal{T}$ , only refers to the way the tetrahedron arose from the original geometric subdivision of  $M$ . The tetrahedron in its own right, as a member of  $\mathcal{T}$ , is always “fat”.

Even if the topological triangulation  $\mathcal{T}$  does depend on the initial choice of vertices on the  $P_\alpha$ , we will fix one such choice and refer to a definite  $\mathcal{T}$ .

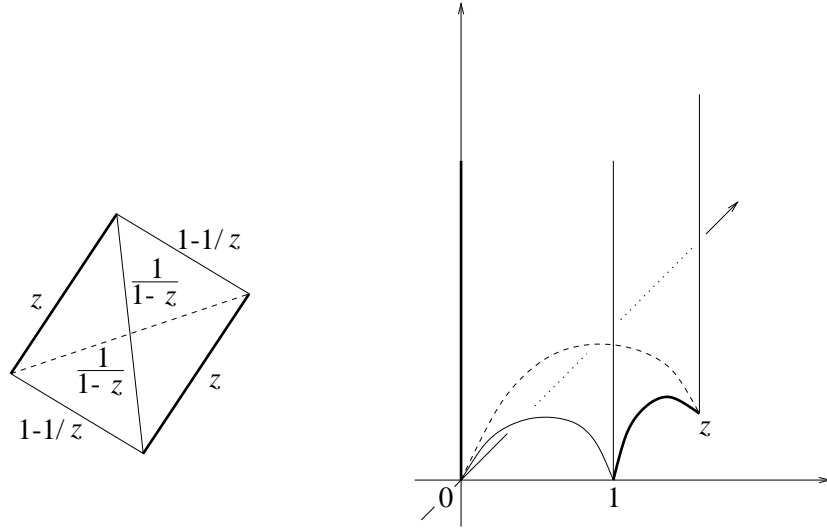


Figure 2: Moduli of an ideal tetrahedron, using the  $\mathbb{C} \times (0, \infty)$  model of  $\mathbb{H}^3$

**Consistency and completeness equations.** Recall now that if we fix a pair of opposite edges on the standard ideal tetrahedron  $\Delta$ , the realizations of  $\Delta$  as an oriented ideal tetrahedron in  $\mathbb{H}^3$  are parametrized (up to oriented isometry) by the upper half-plane  $\pi_+ = \{z \in \mathbb{C} : \Im(z) > 0\}$ , as described in Fig. 2. This correspondence easily extends to  $\mathbb{R} \setminus \{0, 1\}$  to cover the case where  $\Delta$  flattens out to a quadrilateral with distinct vertices. We will interpret parameters in  $-\pi_+$  as describing tetrahedra with negative orientation (in particular, negative volume).

Given an ideal triangulation  $\mathcal{T}$  of  $M$  consisting of tetrahedra  $\Delta_1, \dots, \Delta_n$ , we can fix a pair of opposite edges on each  $\Delta_j$ , choose a modulus  $z_j \in \pi_+$  and ask ourselves if  $M$  admits a (complete) hyperbolic structure inducing on each  $\Delta_j$  the structures described by  $z_j$ . The answer, which goes back to Thurston [13] (see also [1]), is given by two systems of equations in  $z = (z_1, \dots, z_n)$ . We first have the *consistency* equations  $\mathcal{C}_{\mathcal{T}}^*(z)$ , which prescribe that the product of the moduli around each edge should be 1 and the sum of the corresponding arguments should be  $2\pi$ . The system  $\mathcal{C}_{\mathcal{T}}^*(z)$  is satisfied if and only if there exists on  $M$  a (possibly incomplete) hyperbolic structure as mentioned. In practice one often needs to consider only the system  $\mathcal{C}_{\mathcal{T}}(z)$  obtained by neglecting the condition on arguments, because close enough to a solution  $z^{(0)}$  of  $\mathcal{C}_{\mathcal{T}}^*$ , the systems  $\mathcal{C}_{\mathcal{T}}$  and  $\mathcal{C}_{\mathcal{T}}^*$  are equivalent. The other equations  $\mathcal{M}_{\mathcal{T}}(z)$  one needs to consider, called *completeness* equations, are rational equations in  $z$  determined by the combinatorics of  $\mathcal{T}$ , just as it happens for  $\mathcal{C}_{\mathcal{T}}$ . They have a geometrical meaning only when  $\mathcal{C}_{\mathcal{T}}^*(z)$  holds. In this case a representation  $\rho_z$  of  $H_1(\partial \overline{M})$  into the group of affine automorphisms of  $\mathbb{C}$  is well-defined up to conjugation, and  $\mathcal{M}_{\mathcal{T}}(z)$  means that the image of  $\rho_z$  consists of translations. An exact combinatorial description of  $\mathcal{M}_{\mathcal{T}}(z)$  is provided after the statement of Theorem 2.1.

**Partially flat and negatively oriented solutions.** The geometric meaning of  $\mathcal{C}_{\mathcal{T}}^*(z)$  and  $\mathcal{M}_{\mathcal{T}}(z)$  for  $z_1, \dots, z_n \in \pi_+$  is as follows. First one realizes the abstract face-

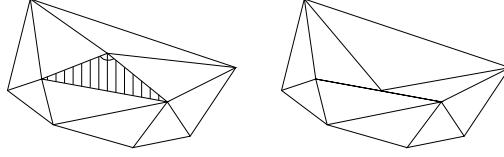


Figure 3: Foliation representing the flattening of a triangle

pairings as isometries between the faces of the ideal tetrahedra in  $\mathbb{H}^3$  corresponding to  $z_1, \dots, z_n$ . The resulting identification space is homeomorphic to  $M$ , and a hyperbolic structure is defined away from the edges. Consistency equations  $\mathcal{C}_{\mathcal{T}}^*(z)$  translate the fact that this structure extends to edges, and  $\mathcal{M}_{\mathcal{T}}(z)$  translates completeness. As mentioned, the resulting systems  $\mathcal{C}_{\mathcal{T}}$  and  $\mathcal{M}_{\mathcal{T}}$  are rational and depend only on the combinatorics of  $\mathcal{T}$ . Moreover only denominators  $z_j$  and  $1 - z_j$  appear, so it makes sense to consider solutions  $z \in (\mathbb{C} \setminus \{0, 1\})^n$ . This is not quite the case for  $\mathcal{C}_{\mathcal{T}}^*(z)$ , because for  $z \in -\pi_+$  there is no obvious way to choose arguments for  $z, 1/(1 - z), 1 - 1/z$  so that their sum gives  $\pi$ . We will deal with this small subtlety below.

Even if one disregards the problem about arguments, the geometric interpretation of a solution  $z$  of  $\mathcal{C}_{\mathcal{T}}$  is not so clear when some  $z_j$  is not in  $\pi_+$ . The idea is that if  $z_j \in -\pi_+$  then  $\Delta_j$  should overlap with some other  $\Delta_{j'}$  with  $z_{j'} \in \pi_+$  (actually, at least two of them, so that the algebraic number of tetrahedra covering each point is always 1), but it is not easy to turn this idea into a general formal definition. Actually, a general definition cannot work, as the following discussion shows. Consider the tame case where some  $z_j$  are in  $\pi_+$  and some (but not all) are in  $\mathbb{R} \setminus \{0, 1\}$ . If we take the corresponding “fat” and “flat” tetrahedra in  $\mathbb{H}^3$ , we can still glue their faces together, but it was shown in [10] that the resulting identification space is in general not homeomorphic to  $M$ . If moduli in  $-\pi_+$  are involved, the situation can of course get even worse.

**The complete solution.** We note first that for  $z \in \mathbb{R} \setminus \{0, 1\}$  there is an obvious good choice for the arguments of  $z, 1/(1 - z), 1 - 1/z$ , namely  $\arg(t) = \pi$  for  $t < 0$  and  $\arg(t) = 0$  otherwise. So, it makes sense to consider partially flat solutions  $z$  of  $\mathcal{C}_{\mathcal{T}}^*$ . As mentioned, such a  $z$  does not have in general a geometric meaning. However, it was shown in [10] that if  $z$  is a solution also of  $\mathcal{M}_{\mathcal{T}}$  then the identification space obtained from the fat and flat tetrahedra is indeed  $M$ , and a complete hyperbolic structure is naturally defined. This result itself is not used in this paper, but we will employ the following technical tool introduced in [10] for the proof. To signify the flattening of a genuine triangle into a segment we will foliate the triangle, as sketched in Fig. 3. One of the main points in [10] is the proof that the simultaneous collapse of all the foliated components does not alter the topology.

Going back to the specific situation arising from the subdivision of an Epstein-Penner decomposition of  $M$ , we see that we can assign a modulus  $z_j^{(0)}$  to each  $\Delta_j$  in  $\mathcal{T}$ , where  $z_j^{(0)} \in \pi_+$  if  $\Delta_j$  lies in some  $P_\alpha$ , and  $z_j^{(0)} \in \mathbb{R} \setminus \{0, 1\}$  if  $\Delta_j$  is one of the tetrahedra we have inserted.

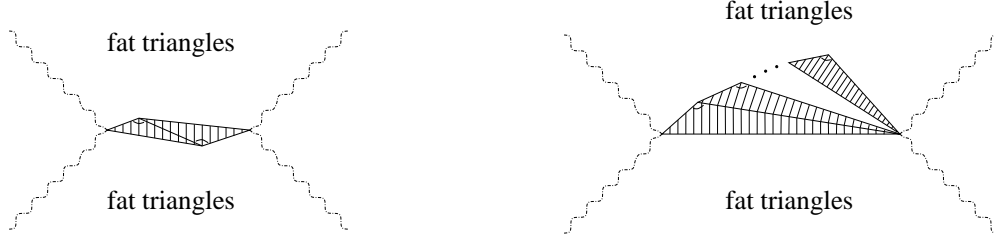


Figure 4: Foliated components arising from subdivision of an Epstein-Penner decomposition

**Lemma 1.1.**  $z^{(0)}$  is a solution of  $\mathcal{C}_{\mathcal{T}}^*$  and  $\mathcal{M}_{\mathcal{T}}$ . Moreover the foliated components arising on  $\partial\overline{M}$  have one of the shapes described in Fig. 4.

*Proof of 1.1.* The first assertion is obvious: we already know that  $M$  is complete hyperbolic, and  $z^{(0)}$  corresponds to a geometric partially flat triangulation, so the geometric interpretation of  $\mathcal{C}_{\mathcal{T}}^*$  and  $\mathcal{M}_{\mathcal{T}}$  is the same as for genuine triangulations. The second assertion is easily proved by taking transversal sections in Fig. 1 near the ideal vertices. 1.1

Foliated components as in Fig. 4 are called *bigons*. Lemma 1.1 implies that the foliated components on  $\partial\overline{M}$  corresponding to  $z^{(0)}$  are bigons intersecting each other only at their ends. This fact will be used in the sequel.

**Remark 1.2.** If one considers a general partially flat solution of  $\mathcal{C}_{\mathcal{T}}^*$  and  $\mathcal{M}_{\mathcal{T}}$ , annular foliated components and more complicated intersections between components can appear on  $\partial\overline{M}$ , see [10]. This makes the analysis of the deformed structures considerably harder, and explains why we have decided to concentrate on solutions arising from Epstein-Penner decompositions.

**Solutions near the complete solution.** From now on we will only be concerned with solutions  $z$  of  $\mathcal{C}_{\mathcal{T}}$  lying in an arbitrarily small neighbourhood  $\mathcal{U}$  of  $z^{(0)}$ . Formally, all our statements should contain the phrase “ $\mathcal{U}$  can be taken so small that...”, but we will omit it systematically. We define  $\mathcal{D} = \{z \in \mathcal{U} : \mathcal{C}_{\mathcal{T}}(z)\}$ . We note first that on  $\mathcal{U}$  the arguments can be defined by continuity also for the moduli in  $-\pi_+$ , and of course the resulting system  $\mathcal{C}_{\mathcal{T}}^*$  is equivalent to  $\mathcal{C}_{\mathcal{T}}$ . For this reason we will henceforth leave the discussion of arguments in the background. Moreover we will assume that for  $z \in \mathcal{U}$ , if  $z_j^{(0)} \in \pi_+$ , then also  $z \in \pi_+$ . In other words, flat tetrahedra can become fat, flat, or negative, but fat tetrahedra stay fat.

It will be convenient to denote the generic abstract element of  $\mathcal{T}$  by  $\Delta_j$ , and by  $\Delta_j(z)$  the geometric version of  $\Delta_j$  corresponding to  $z \in \mathcal{D}$ . As mentioned, for  $z_j \in -\pi_+$  one imagines  $\Delta_j(z)$  to be negatively oriented, but we will only use directly those  $\Delta_j(z)$  for which  $z_j^{(0)}$ , and hence  $z_j$ , lies in  $\pi_+$ . We will also use  $P_{\alpha}(z^{(0)})$  to emphasize that we are considering the geometric polyhedron rather than the abstract one  $P_{\alpha}$ . For all  $\alpha$ , let  $J_{\alpha}$  be the set of indices  $j$  such that  $\Delta_j$  appears in the original subdivision of  $P_{\alpha}$ . Consider also the set of face-pairings  $p_{\alpha}$  corresponding to the triangles lying

in the interior of  $P_\alpha$ . In this context a face-pairing is just a combinatorial rule, but when the abstract tetrahedra are turned into geometric ones, an isometry is uniquely determined.

**Lemma 1.3.** *For  $z \in \mathcal{D}$  and for all  $\alpha$ , the tetrahedra  $\Delta_j(z)$ ,  $j \in J_\alpha$  can be assembled along  $p_\alpha$  to give a (probably non-convex) ideal polyhedron  $P_\alpha(z)$  in  $\mathbb{H}^3$  with triangular faces, combinatorially equivalent (in particular, homeomorphic) to  $P_\alpha$  (endowed with the facets structure).*

*Proof of 1.3.* We first note that, using the projective model of  $\mathbb{H}^3$ , ideal polyhedra can be viewed as compact Euclidean polyhedra with vertices on the unit sphere. Choosing a maximal tree in the graph corresponding to the pairing  $p_\alpha$ , we can realize in  $\mathbb{H}^3$  the  $\Delta_j(z)$ ,  $j \in J_\alpha$ , so that the pairings in the tree are given by actual overlapping. Moreover we can define a map  $f_j(z) : \Delta_j(z^{(0)}) \rightarrow \Delta_j(z)$ , for instance using Euclidean coordinates and taking convex combinations of vertices. Since  $z$  satisfies  $\mathcal{C}_T(z)$ , these  $f_j(z)$ 's match to give a map  $F_\alpha(z) : P_\alpha(z^{(0)}) \rightarrow P_\alpha(z)$ . Moreover  $F_\alpha(z)$  is locally injective. To conclude we note that  $P_\alpha(z)$  converges to the identity of  $P_\alpha(z^{(0)})$  as  $z$  goes to  $z^{(0)}$ , and we use Euclidean compactness of  $P_\alpha(z^{(0)})$  to deduce that  $F_\alpha(z)$  is eventually injective. All conclusions easily follow. 1.3

Using the combinatorial equivalence between  $P_\alpha(z)$  and  $P_\alpha$ , we can define the faces  $F_i(z)$  also for the  $P_\alpha(z)$ . Each  $F_i(z)$  will be a (probably non-planar) union of facets.

We define now an *abstract* polyhedron  $\tilde{P}_\alpha$  by adding to  $P_\alpha$  all the flat tetrahedra  $\Delta_j$  arising from faces  $F_i$  contained in  $\partial P_\alpha$ . Recall that we have artificially broken the symmetry of face-pairings using the notation  $F_i, F'_i$  for a pair of faces to be glued, so each flat tetrahedron is used once. The  $\tilde{P}_\alpha$  have a natural facet structure on their boundary. Moreover, using the pairing of triangles in  $\mathcal{T}$ , we deduce a pairing of the facets of the  $\tilde{P}_\alpha$ , and the result of all these facet-pairings is  $M$ .

The idea is now to replace each  $P_\alpha(z)$  by some  $\tilde{P}_\alpha(z)$  having the same combinatorial structure as  $\tilde{P}_\alpha$ , so to obtain  $M$  from geometric polyhedra. As obvious,  $\tilde{P}_\alpha(z)$  will result from elementary modifications on  $P_\alpha(z)$ , each modification coming from one of the faces  $F_i$  contained in  $\partial P_\alpha$ . The elementary modification is itself obvious:  $\tilde{P}_\alpha(z)$  will have the same vertices on  $\partial\mathbb{H}^3$  as  $P_\alpha(z)$ , but facets (convex envelopes of triples of these vertices) will be taken according to the combinatorial structure of  $\tilde{P}_\alpha$  rather than  $P_\alpha$ . For example, consider the situation of Fig. 1. Let  $P_\alpha$  be the polyhedron shown below in the figure, and let  $F_i = (A_1, \dots, A_5)$ . The collection of facets of  $P_\alpha(z)$  contains the triangles  $(A_1(z), A_2(z), A_3(z))$ ,  $(A_1(z), A_3(z), A_4(z))$ ,  $(A_1(z), A_4(z), A_5(z))$ . Now we replace these triangles by  $(A_5(z), A_1(z), A_2(z))$ ,  $(A_5(z), A_2(z), A_3(z))$ ,  $(A_5(z), A_3(z), A_4(z))$ , leaving all other facets of  $P_\alpha(z)$  unchanged. The resulting collection of triangles still bounds an ideal polyhedron in  $\mathbb{H}^3$ , which we take as  $\tilde{P}_\alpha(z)$ . We will also denote by  $\tilde{F}_i(z)$  the union of the modified facets.

**Remark 1.4.** Assume that under a face-pairing  $\varphi_i : F_i \rightarrow F'_i$  no edge of the subdivisions of  $F_i$  and  $F'_i$  is matched (as in Fig. 1). Then the flat tetrahedra inserted come in a natural order starting from  $F_i$  and proceeding towards  $F'_i$  (in Fig. 1, first



$(A_1'', A_5'', A_4'', A_3'')$  and then  $(A_1'', A_5'', A_3'', A_2'')$ . The transformation of  $P_\alpha(z)$  into  $\tilde{P}_\alpha(z)$  can be viewed as the result of successive transformations corresponding to the individual flat tetrahedra. Each transformation consists in replacing a quadrilateral, bent along one diagonal, with the quadrilateral having the same perimeter and bent along the other diagonal. If the dihedral angle at the first diagonal is more than  $\pi$  then the modulus of the corresponding tetrahedron is in  $\pi_+$ , and the tetrahedron is being added to  $P_\alpha$ . If the angle is less than  $\pi$ , then the modulus is in  $-\pi_+$ , and the tetrahedron is being deleted. If the angle is  $\pi$ , the modulus is in  $\mathbb{R} \setminus \{0, 1\}$  and we are only changing the combinatorial structure of the facets of  $P_\alpha$ . When the pairing  $\varphi_i$  matches an edge of the subdivisions, this description must be repeated for both of the polygons into which  $F_i$  is divided by the matching edge.

**Theorem 1.5.** *1. The above-described modification of  $P_\alpha(z)$  can be carried out simultaneously for all faces  $F_i$ .*

*2. The resulting collection  $\tilde{P}_\alpha(z)$ , with the face structure given by the  $\tilde{F}_i(z)$  and the  $F'_i(z)$ , is combinatorially equivalent to the original collection  $P_\alpha$ .*

*3. Each pairing  $\tilde{F}_i(z) \rightarrow F'_i(z)$  can be realized by an isometry.*

*4. The identification space resulting from the pairings is homeomorphic to  $M$ , and it can be endowed with a hyperbolic structure compatible with the structure defined on the interior of each  $\tilde{P}_\alpha(z)$ .*

*Proof of 1.5.* It is again useful to identify hyperbolic ideal polyhedra with compact Euclidean polyhedra with vertices on the sphere. Using this point of view, let us consider the 1-skeleton  $\Gamma_\alpha(z^{(0)})$  of a certain  $P_\alpha(z^{(0)})$ . On  $\Gamma_\alpha(z^{(0)})$  we have certain simple circuits which correspond to the faces of  $P_\alpha$ . Note that each circuit is contained in a plane, and the various planes form dihedral angles strictly less than  $\pi$  at the edges of  $\Gamma_\alpha(z^{(0)})$ . Now we consider the same circuits in the modified 1-skeleton  $\Gamma_\alpha(z)$ . By compactness, we easily see that for  $z$  close enough to  $z^{(0)}$ , the convex envelopes of any two distinct circuits meet at most in a common edge or vertex of  $\Gamma_\alpha(z)$ . This shows points 1, 2 and the first assertion in 4.

We show point 3 in the special case of Fig. 1, leaving to the reader the general case. The idea is to somehow realize in  $\mathbb{H}^3$  the flat tetrahedra. Let  $x$  and  $y$  be the moduli along the edge  $(A_1'', A_5'')$  of the tetrahedra  $(A_1'', A_2'', A_3'', A_5'')$  and  $(A_1'', A_3'', A_4'', A_5'')$  respectively. Note that  $x(z^{(0)}), y(z^{(0)}) \in (1, \infty)$ . Now in the half-plane model of  $\mathbb{H}^3$  we choose  $A_1''(z) = \infty$ ,  $A_5''(z) = 0$ ,  $A_4''(z) = 1$ ,  $A_3''(z) = x(z)$  and  $A_2''(z) = y(z) \cdot x(z)$ . Consistency of  $z$  along  $(A_1, A_4)$  and  $(A_1, A_3)$  implies that the unique  $f \in \text{Isom}^+(\mathbb{H}^3)$  such that  $f(A_1(z)) = A_1''(z)$ ,  $f(A_5(z)) = A_5''(z)$ , and  $f(A_4(z)) = A_4''(z)$ , also enjoys  $f(A_3(z)) = A_3''(z)$  and  $f(A_2(z)) = A_2''(z)$ . Similarly consistency along  $(A_5, A_2)$  and  $(A_5, A_3)$  implies that  $g(A_l'(z)) = A_l''(z)$ ,  $l = 1, \dots, 5$ , for some  $g \in \text{Isom}^+(\mathbb{H}^3)$ . Now, the description of  $\tilde{P}_\alpha$  given in Remark 1.4 implies that

$$\tilde{F}_i(z) = f^{-1}\left((A_5''(z), A_1''(z), A_2''(z)) \cup (A_5''(z), A_2''(z), A_3''(z)) \cup (A_5''(z), A_3''(z), A_4''(z))\right)$$

whence the conclusion.

The second assertion in point 4 follows from point 3 and consistency along the original edges of the  $P_\alpha$ . 1.5

## 2 Developing map and completion of deformed structures

We will denote in the sequel by  $\mathfrak{h}(z)$  the hyperbolic structure on  $M$  constructed in Theorem 1.5 for  $z \in \mathcal{D}$ . In this section we will analyze the completion of  $\mathfrak{h}(z)$ , the key ingredient being the understanding of the developing map of cusps. We will first give the statement needed in Section 3 to conclude the proof of Theorem 0.1, then we will switch to a 2-dimensional setting, and later we will use the 2-dimensional construction to understand  $\mathfrak{h}(z)$ .

**Statements of results.** Let us return to the notation of Theorem 0.1 and slightly modify it so to unify the two assertions. Consider the set

$$G = \{\infty\} \cup \{g \in \mathbb{R}^2 : g = r \cdot (p, q) \text{ for some } r > 0 \text{ and relatively prime } p, q \in \mathbb{Z}\}.$$

(The motivation for the notation is that  $G$  consists of Generalized filling coefficients, as opposed to the genuine Coefficients of the set  $C$  defined in Theorem 0.1.) For  $g \in G \setminus \{\infty\}$  note that its expression as  $r \cdot (p, q)$  is unique, and define  $c(g) = (p, q)$ ,  $\vartheta(g) = 2\pi/r$ . Set  $c(\infty) = \infty$ . Topologize  $G$  as a subset of  $\mathbb{R}^2 \cup \{\infty\} = S^2$ . We can now restate Theorem 0.1 as follows:

**Theorem 2.1.** *Under the assumptions of Theorem 0.1 there exists a neighbourhood  $\mathcal{F}$  of  $(\infty, \dots, \infty)$  in  $G^k$  such that for  $(g_1, \dots, g_k) \in \mathcal{F}$  the manifold  $M_{c(g_1) \dots c(g_k)}$  admits the structure of a complete finite-volume hyperbolic cone manifold, with cone locus given by the cores  $\{0\} \times S^1$  of the solid tori glued to the  $T_i$ 's such that  $g_i \neq \infty$ , where the cone angle is  $\vartheta(g_i)$ .*

It is perhaps worth noticing here that this statement is actually independent of the choice of the basis  $\lambda_i, \mu_i$  of  $H_1(T_i)$ . In fact, a different choice is related through a matrix in  $\text{GL}(2, \mathbb{Z})$ , which induces a homeomorphism of  $S^2$  and preserves coprimality of integer pairs, and hence the function  $\vartheta : G \rightarrow \mathbb{R}_+$  introduced above.

Theorem 2.1 is the result which we will establish in the rest of the paper. To summarize the content of the present section, we now go back to the notation of Section 1. Note first that for  $z \in \mathcal{D}$  a homomorphism  $h_i(z) : H_1(T_i) \rightarrow \mathbb{C}^*$  is defined by  $h_i(z)([\gamma]) = (-1)^{\#\gamma_0} L_z(\gamma)$ , where  $\gamma$  is a simplicial loop with respect to the triangulation of  $T_i$  induced by  $\mathcal{T}$ ,  $\#\gamma_0$  is the number of vertices of  $\gamma$  and  $L_z(\gamma)$  is the product of all moduli along angles which  $\gamma$  leaves on its left on  $T_i$ . Recall that  $\mathcal{M}_{\mathcal{T}}(z)$  is the system  $\{h_i(z)(\lambda_i) = h_i(z)(\mu_i) = 1, i = 1, \dots, k\}$ . Note that  $h_i(z^{(0)})(\lambda_i) = h_i(z^{(0)})(\mu_i) = 1$ , so we can use the holomorphic branch log of the logarithm function enjoying  $\log(1) = 0$  to define maps  $u_i, v_i : \mathcal{D} \rightarrow \mathbb{C}$  as  $u_i(z) = \log(h_i(z)(\lambda_i))$  and  $v_i(z) = \log(h_i(z)(\mu_i))$ . We will establish the following:

**Theorem 2.2.** 1. For  $z \in \mathcal{D}$ , we have  $u_i(z) = 0$  if and only if  $v_i(z) = 0$ .

2. If  $z \in \mathcal{D}$  and  $u_1(z) = \dots = u_k(z) = 0$  then  $z = z^{(0)}$ .

3. The following limit exists and is not real:

$$\tau_i = \lim_{z \in \mathcal{D}, u_i(z) \neq 0, z \rightarrow z^{(0)}} \frac{v_i(z)}{u_i(z)}.$$

4. Fix  $z \in \mathcal{D}$ , and let  $g_1, \dots, g_k \in G$  be such that  $g_i = \infty$  when  $u_i(z) = 0$ , and  $g_i = (p_i, q_i)$  with  $p_i \cdot u_i(z) + q_i \cdot v_i(z) = 2\pi\sqrt{-1}$  otherwise. Then the completion of  $M$  with respect to  $\mathfrak{h}(z)$  is homeomorphic to  $M_{c(g_1)\dots c(g_k)}$ , and the structure of  $M$  extends to a hyperbolic cone manifold structure as described in Theorem 2.1.

**Partially flat triangulations of the torus.** Let us consider a triangulation  $\mathcal{T}$  of the torus  $T$  (all notation overlaps between this paragraph and the previous section are intentional, and their motivation should be clear to the reader). The combinatorics of  $\mathcal{T}$  allows to write down systems  $\mathcal{C}_{\mathcal{T}}^*$  and  $\mathcal{M}_{\mathcal{T}}$ , the latter requiring the choice of a basis  $\lambda, \mu$  of  $H_1(T)$ . For  $z_1, \dots, z_n \in \pi_+$ ,  $\mathcal{C}_{\mathcal{T}}(z)$  holds if and only if there is on  $T$  a similarity structure inducing on the  $j$ -th triangle the structure with modulus  $z_j$ . Moreover, also  $\mathcal{M}_{\mathcal{T}}(z)$  holds if and only if this structure is compatible with a Euclidean structure. Let us fix now a solution  $z^{(0)}$  of  $\mathcal{C}_{\mathcal{T}}^*$  and  $\mathcal{M}_{\mathcal{T}}$  which is only *partially* (but not totally) *flat*. It was shown in [10] that  $z^{(0)}$  still yields a Euclidean structure (up to scaling) on  $T$ . However, being only interested in the situations arising on  $\partial\overline{M}$  when subdividing an Epstein-Penner decomposition, we may take as an *assumption* that there is on  $T$  a Euclidean structure inducing on the  $j$ -th triangle of  $\mathcal{T}$  the structure with modulus  $z_j^{(0)}$ . Of course when  $z_j^{(0)}$  is real this means that the triangle has been collapsed to a segment. We will use foliations to signify collapse, as in Fig. 3. We will also assume that foliated components of  $T$  are bigons intersecting at their ends only, as in Fig. 4.

Before proceeding, we need to recall that for a solution  $z \in (\mathbb{C} \setminus \{0, 1\})^n$  of  $\mathcal{C}_{\mathcal{T}}^*$ , a representation  $h(z) : H_1(T) \rightarrow \mathbb{C}^*$  can be defined as explained above. Moreover  $\mathcal{M}_{\mathcal{T}}(z)$  is the system  $h(z)(\lambda) = h(z)(\mu) = 1$ . (It follows from this that all systems  $\mathcal{M}_{\mathcal{T}}(z)$  arising from different choices of the basis of  $H_1(T)$  are equivalent to each other. However, we will not need to change basis.)

**Proposition 2.3.** *There exist a neighbourhood  $\mathcal{U}$  of  $z^{(0)}$  in  $(\mathbb{C} \setminus \{0, 1\})^n$  such that:*

1. For  $z \in \mathcal{U}$ ,  $\mathcal{C}_{\mathcal{T}}(z)$  is equivalent to  $\mathcal{C}_{\mathcal{T}}^*(z)$ .
2. If  $\mathcal{D} := \{z \in \mathcal{U} : \mathcal{C}_{\mathcal{T}}(z)\}$  and  $z \in \mathcal{D}$ , then  $h(z)(\lambda) = 1$  if and only if  $h(z)(\mu) = 1$ .
3. If  $u(z) = \log(h(z)(\lambda))$  and  $v(z) = \log(h(z)(\mu))$ , where  $\log$  is holomorphic near  $1 \in \mathbb{C}$  and  $\log(1) = 0$ , then the limit of  $v(z)/u(z)$ , as  $z$  tends to  $z^{(0)}$  in  $\mathcal{D}$  and  $u(z) \neq 0$ , exists and is a non-real number  $\tau$ .
4. Each  $z \in \mathcal{D}$  defines on  $T$  a similarity structure  $\mathfrak{s}(z)$ .

5. For  $z \in \mathcal{D}$ ,  $\mathfrak{s}(z)$  is compatible with a Euclidean structure on  $T$  if and only if  $h(z)(\lambda) = 1$ .
6. If  $h(z)(\lambda) \neq 1$ , a developing map for  $\mathfrak{s}(z)$  is given by

$$\mathbb{R}^2 \ni (x, y) \mapsto \exp(u(z)x + v(z)y) \in \mathbb{C}$$

where  $\mathbb{R}^2$  is the universal cover of  $T$ , with deck transformation group  $\mathbb{Z}^2$ .

**Remark 2.4.** Oriented similarity structures in dimension two are equivalent to complex affine structures in dimension one, and we will use both indistinctly.

*Proof of 2.3.* Point 1 is clear from continuity. To prove the other points we start with the Euclidean structure on  $T$ . Let  $\sigma_1, \dots, \sigma_r$  be the triangles of  $\mathcal{T}$  that have non-zero area for this Euclidean structure. The remaining triangles are flat, so they have a longest edge (the one with angle zero at each endpoint), and we abstractly glue each one of these triangles to its neighbour along the longest edge. Since we assume that the foliated components of  $T$  are bigons as described in Fig. 4, each flat triangle is glued to either a fat one or to a family of flat triangles glued to a fat one. The result of this gluing process is a family of abstract triangulated polygons  $\tilde{\sigma}_1, \dots, \tilde{\sigma}_r$ , such that each  $\tilde{\sigma}_i$  contains exactly one triangle that is fat for the Euclidean structure. Moreover we have a family of pairings between the edges of the  $\tilde{\sigma}_i$ 's, yielding  $T$  as identification space.

The parameters  $z \in \mathcal{U}$  define a complex affine structure on the triangles  $\sigma_i$  that we denote by  $\sigma_i(z)$ . Now we define the induced structures on the  $\tilde{\sigma}_i$ 's. We first repeat geometrically the combinatorial construction of  $\tilde{\sigma}_i$ , namely we add the triangles with parameter in  $\pi_+$  and we remove the triangles with parameter in  $-\pi_+$ . The triangles with real parameter are the flat ones, and for them we add a new vertex in the interior of the edge they represent, according to the real parameter. This process is only possible when  $z$  is close to  $z^{(0)}$ . We denote by  $\tilde{\sigma}_i(z)$  the complex affine polygon obtained in this way. The next lemma proves point 4 of Proposition 2.3.

**Lemma 2.5.** For  $z \in \mathcal{D}$  and for  $i = 1, \dots, r$ ,  $\tilde{\sigma}_i(z)$  defines a complex affine structure on the polygon  $\tilde{\sigma}_i$ . These structures match under the edge-pairings and induce a complex affine structure  $\mathfrak{s}(z)$  on  $T$ .

*Proof of 2.5.* For the first assertion we have to show that there is a natural combinatorial equivalence between  $\tilde{\sigma}_i$  and  $\tilde{\sigma}_i(z)$ . We view  $\partial\tilde{\sigma}_i(z^{(0)})$  not as a triangle but as a polygon combinatorially equivalent to  $\partial\tilde{\sigma}_i$ , because each time we glue a flat triangle we are adding a new vertex. Hence  $\tilde{\sigma}_i(z^{(0)})$  is a polygon in  $\mathbb{C}$ , with every angle but three equal to  $\pi$ . Now the polygon  $\partial\tilde{\sigma}_i(z^{(0)})$  is combinatorially isomorphic to the abstract polygon  $\partial\tilde{\sigma}_i$ , and  $\partial\tilde{\sigma}_i(z)$  is isomorphic to  $\partial\tilde{\sigma}_i(z^{(0)})$  for  $z \in \mathcal{U}$ , because the vertices depend continuously on  $z$ , so  $\partial\tilde{\sigma}_i(z)$  is equivalent to  $\partial\tilde{\sigma}_i$ .

Having shown that the  $\tilde{\sigma}_i(z)$ 's are equivalent to the  $\tilde{\sigma}_i$ 's, we can now realize the edge-pairings by similarities. Consistency equations  $\mathcal{C}_{\mathcal{T}}(z)$  are readily seen to imply

that the similarity structure defined on  $T$  minus the vertices extends to the vertices, whence the conclusion. 2.5

We next consider the holonomy of  $\mathfrak{s}(z)$ . This is a homomorphism  $\pi_1(T) \rightarrow \text{Aff}(\mathbb{C})$  well-defined up to conjugation. Speaking of holonomy we need to refer to  $\pi_1(T)$ , but we will freely use the canonical isomorphism with  $H_1(T)$ . Given  $f \in \text{Aff}(\mathbb{C})$ , if  $f(w) = \alpha w + \beta$  we call  $\alpha$  the linear part of  $f$ . Note that  $\alpha$  is invariant under conjugation, so the linear part of the holonomy is a well-defined homomorphism  $\pi_1(T) \rightarrow \mathbb{C}^*$ , which depends only on the complex affine structure.

**Lemma 2.6.** *Given  $g \in \pi_1(T)$ , the linear part of the holonomy of  $g$  corresponding to  $\mathfrak{s}(z)$  is  $h(z)(g)$ , where  $h$  is defined as above. In addition, there exists a representative  $\rho(z)$  of the holonomy such that  $\rho(z)(\lambda)$  and  $\rho(z)(\mu)$  are respectively given by*

$$w \mapsto e^{u(z)}w + a(z) \quad \text{and} \quad w \mapsto e^{v(z)}w + b(z),$$

where  $a, b : \mathcal{D} \rightarrow \mathbb{C}$  are restrictions to  $\mathcal{D}$  of global rational functions with denominators not vanishing in  $\mathcal{D}$ .

*Proof of 2.6.* To prove the first assertion we recall the general recipe to compute the linear part of the holonomy. We consider the CW-decomposition of  $T$  where the 2-cells are the polygons  $\tilde{\sigma}_1, \dots, \tilde{\sigma}_r$  and the 1-skeleton is the union of the boundaries of these polygons. Given an element in  $\pi_1(T)$ , we represent it by a path  $\gamma$  in the 1-skeleton. Since the 2-cells are polygons of  $\mathbb{C}$  defined up to similarity, the complex ratio between two 1-cells with a common end is an invariant of the similarity structure. The linear part of the holonomy of the oriented path  $\gamma$  is the product of the ratios between each pair of consecutive 1-cells of  $\gamma$ , taking care of the orientations. In our situation, each ratio between consecutive 1-cells is a product of parameters  $z_j$ ,  $1 - 1/z_j$  or  $1/(1 - z_j)$ , and one can easily check that the linear part of the holonomy of  $\gamma$  is precisely  $h(\gamma)(z)$  as defined above.

To prove the second assertion, we fix a polygon  $\tilde{\sigma}_i$  and one of its edges. We normalize the developing map  $D(z)$  so that it maps this edge to the segment  $[0, 1]$  in  $\mathbb{C}$ . In the fundamental group, we choose the basepoint to be the initial point of the edge we have fixed, and consider the holonomy  $\rho(z)$  corresponding to  $D(z)$ . The first assertion of the lemma and the definition of  $u$  imply that the linear part of  $\rho(z)(\lambda)$  is indeed  $e^{u(z)}$ . Moreover, by our choices,  $a(z) = D(z)(\tilde{\lambda}(1))$ , where  $\tilde{\lambda}$  is a lift of  $\lambda$  to the universal covering such that  $\tilde{\lambda}(0) \in D(z)^{-1}(0)$ . Now,  $D(z)$  is constructed by patching together in  $\mathbb{C}$  triangles with moduli  $z_j$ , with one triangle having vertices 0 and 1. All resulting vertices, in particular  $a(z) = D(z)(\tilde{\lambda}(1))$ , are therefore polynomials in the  $z_j$ ,  $1 - 1/z_j$  and  $1/(1 - z_j)$ . This implies the conclusion for  $\rho(z)(\lambda)$ , and the same argument applies to  $\mu$ . 2.6

Since the complex affine structure  $\mathfrak{s}(z^{(0)})$  is compatible with a Euclidean structure,  $u(z^{(0)}) = v(z^{(0)}) = 0$  and  $\langle a(z^{(0)}), b(z^{(0)}) \rangle$  is a lattice in  $\mathbb{C}$ . In particular  $a(z^{(0)}), b(z^{(0)}) \in \mathbb{C} \setminus \{0\}$  and  $\tau = b(z^{(0)})/a(z^{(0)}) \in \mathbb{C} \setminus \mathbb{R}$ . Moreover it follows from the commutativity between  $\lambda$  and  $\mu$  that:

$$a(z)(e^{v(z)} - 1) = b(z)(e^{u(z)} - 1).$$

Points 2, 3 and 5 in Proposition 2.3 follow directly from this equality. We are left to prove point 6. We start with  $\mathfrak{s}(z^{(0)})$ . Since this structure is compatible with a Euclidean one, it is complete, because every Riemannian structure on a compact manifold is complete. This means that the structure  $\mathfrak{s}(z^{(0)})$  is realized by the quotient  $\mathbb{C}/\Gamma$ , where the lattice  $\Gamma = \langle a(z^{(0)}), b(z^{(0)}) \rangle < \mathbb{C}$  is the image of  $\pi_1(T)$  under the holonomy. Using the fact that the isotopy class of a homeomorphism of the torus is determined by its action on the fundamental group, it follows that a developing map for  $\mathfrak{s}(z^{(0)})$  is given by any equivariant homeomorphism between  $\mathbb{R}^2$  and  $\mathbb{C}$ . Hence a developing map for  $\mathfrak{s}(z^{(0)})$ , normalized as in the proof of the previous lemma, is given by

$$\mathbb{R}^2 \ni (x, y) \mapsto a(z^{(0)})x + b(z^{(0)})y \in \mathbb{C}.$$

By [16] or §1.7 of [3], for  $z \in \mathcal{D}$ , to give a developing map of  $\mathfrak{s}(z)$  it suffices to deform the developing map of  $\mathfrak{s}(z^{(0)})$  to a local embedding equivariant with the holonomy. The following family of maps has the required properties:

$$\mathbb{R}^2 \ni (x, y) \mapsto \begin{cases} a(z) \cdot \frac{\exp((u(z)x + v(z)y)) - 1}{\exp(u(z)) - 1} & \text{if } u(z) \neq 0 \\ a(z)x + b(z)y & \text{otherwise} \end{cases} \in \mathbb{C}. \quad (1)$$

More precisely, this is a family of maps from  $\mathbb{R}^2$  to  $\mathbb{C}$  parametrized by  $z \in \mathcal{D}$ . This family depends continuously on the parameter  $z \in \mathcal{D}$ , in the sense that if we have a convergent sequence in  $\mathcal{D}$ , then the corresponding sequence of maps converges uniformly on compact subsets of  $\mathbb{R}^2$  for the  $C^1$  topology. In addition, the map corresponding to  $z \in \mathcal{D}$  is equivariant with the holonomy of  $\mathfrak{s}(z)$  in Lemma 2.6. Hence it is a developing map for  $\mathfrak{s}(z)$  when  $z \in \mathcal{D}$ . When  $u(z) \neq 0$ , if we compose the map in (1) with a suitable complex affine transformation, we obtain the map in point 6 of the proposition. 2.3

**3-dimensional developing map.** Points 1 and 3 of Theorem 2.2 follow directly from Proposition 2.3, considering the various  $T_i$ 's. To establish the other points, we go back now to the setting of Section 1. We know that each  $z \in \mathcal{D}$  defines on  $M$  a hyperbolic structure  $\mathfrak{h}(z)$ , and our plan here is to develop it to analyze its completion. We will cut  $M$  along a collection of disjoint boundary-parallel tori, getting a compact manifold  $M_0$  with boundary, together with cusps  $C_1, \dots, C_k$ , with  $C_i \cong T_i \times [0, \infty)$  and  $T_i$  corresponding to  $T_i \times \{\infty\}$ . We will allow ourself to isotope the cutting tori without changing notation. Since  $M$  is  $\partial$ -incompressible, if we take a developing map of  $M$  and restrict it to a component of the preimage (under the universal covering) of  $C_i$ , we get a developing map for the restriction  $\mathfrak{h}_i(z)$  of  $\mathfrak{h}(z)$  to  $C_i$ . Therefore the completion of  $M$  is obtained by completing the various  $C_i$ 's separately and then glueing back to  $M_0$  along the tori.

**Proposition 2.7.** *If  $z \in \mathcal{D}$  then  $\mathfrak{h}_i(z)$  is complete if and only if  $u_i(z) = 0$ . If  $u_i(z) \neq 0$  then a developing map for  $\mathfrak{h}_i(z)$  is given by:*

$$\begin{aligned} \mathbb{R}^2 \times [0, \infty) &\rightarrow \mathbb{H}^3 \cong \mathbb{C} \times (0, \infty) \\ (x, y, t) &\mapsto (\exp(u(z)x + v(z)y), \exp(t + \Re(u(z)x + v(z)y))). \end{aligned}$$

If  $p_i \cdot u_i(z) + q_i \cdot v_i(z) = 2\pi\sqrt{-1}/r_i$  for some coprime pair of integers  $(p_i, q_i)$  and a real number  $r_i > 0$ , then the completion of  $C_i$  is obtained by attaching  $D^2 \times S^1$  to  $T_i \times [0, \infty]$  along  $T_i \times \{\infty\}$ , with  $S^1 \times \{*\}$  glued to  $(p_i\lambda_i + q_i\mu_i) \times \{\infty\}$ , and the result has the structure of a hyperbolic cone manifold with boundary, with cone locus  $\{0\} \times S^1$  and angle  $2\pi/r_i$ .

*Proof of 2.7.* We will use both the statement and the proof of Proposition 2.3, denoting by  $\mathfrak{s}_i(z)$  the similarity structure defined on  $T_i$  according to that proposition. Now, the hyperbolic structure  $\mathfrak{h}(z)$  on the open manifold  $M$  induces another similarity structure on  $T_i$ , which we denote by  $\mathfrak{s}_i^*(z)$ . We have the following:

**Lemma 2.8.**  $\mathfrak{s}_i(z) = \mathfrak{s}_i^*(z)$  for all  $z \in \mathcal{D}$ .

*Proof of 2.8.* Recall first that  $\mathfrak{s}_i(z)$  is obtained by glueing together polygons  $\tilde{\sigma}_j(z)$  as in Lemma 2.5. Moreover, since  $\mathfrak{h}(z)$  is obtained by glueing together the polyhedra  $\tilde{P}_\alpha(z)$  of Section 1, to get  $\mathfrak{s}_i^*(z)$  one has to intersect the  $\tilde{P}_\alpha(z)$  with horospheres centred at ideal vertices corresponding to the  $i$ -th cusp, and patch together the resulting affine polyhedra, which we denote by  $Q_l(z)$ .

Both the  $\tilde{\sigma}_j(z)$  and the  $Q_l(z)$  are obtained by grouping together some of the triangles with moduli  $z_1, \dots, z_n$ , in such a way that each flat or negative triangle gets grouped with at least one fat triangle. The grouping rules, however, are different, so indeed we have something to prove. We first remark that  $\mathfrak{s}_i(z^{(0)}) = \mathfrak{s}_i^*(z^{(0)})$ , because geometrically (even if not combinatorially) each  $Q_l(z^{(0)})$  is obtained by glueing together some  $\tilde{\sigma}_j(z^{(0)})$ .

We will now show that  $\mathfrak{s}_i(z)$  and  $\mathfrak{s}_i^*(z)$  have the same holonomy for  $z \in \mathcal{D}$ . Since this holonomy depends analytically on  $z \in \mathcal{D}$ , by Lemma 2.6, knowing that  $\mathfrak{s}_i(z^{(0)}) = \mathfrak{s}_i^*(z^{(0)})$ , it follows from Theorem 1.7.1 of [3] or from [16] that  $\mathfrak{s}_i(z) = \mathfrak{s}_i^*(z)$  for  $z \in \mathcal{D}$ . Using the recipe (based on ratios of segments) mentioned in Lemma 2.6, one gets combinatorial rules for the holonomies of  $\mathfrak{s}_i(z)$  and  $\mathfrak{s}_i^*(z)$ . These rules involve only the moduli  $z_1, \dots, z_n$  and apply to loops which are simplicial in the CW-structures on  $T_i$  induced respectively by the  $\tilde{\sigma}_j$ 's and by the  $Q_l$ 's. These CW-structures have, as a common subdivision, the triangulation  $\mathcal{T}_i$  induced by  $\mathcal{T}$  on  $T_i$ . Using the consistency relations  $\mathcal{C}_{\mathcal{T}_i}^*$  one easily sees that the two rules extend to one and the same combinatorial rule which applies to loops which are simplicial in  $\mathcal{T}_i$ . This shows that the holonomies are the same, whence the conclusion. 2.8

Since  $\mathfrak{h}_i(z^{(0)})$  is a complete cusp, it is isometric to the quotient of a horoball under the action of  $\pi_1(C_i)$  via the holonomy representation (see Chapter D in [1] for instance). Hence, if we assume that the horoball is centred at  $\infty \in \mathbb{C} \cup \{\infty\} \cong \partial\mathbb{H}^3$ , the complete cusp has a developing map of the following form:

$$\begin{aligned} \mathbb{R}^2 \times [0, \infty) &\rightarrow \mathbb{H}^3 \cong \mathbb{C} \times (0, \infty) \\ (x, y, t) &\mapsto (a_i(z^{(0)})x + b_i(z^{(0)})y, \exp(t)), \end{aligned}$$

where  $a_i(z^{(0)})$  and  $b_i(z^{(0)})$  are as in Lemma 2.6.

We will apply [3] as in the proof of Proposition 2.3. To do this, we shall describe the holonomy representation of  $\mathfrak{h}_i(z)$  for  $z \in \mathcal{D}$  using the similarity structure on  $T_i$  induced

by  $\mathfrak{h}(z)$ . By Lemma 2.8, this structure is  $\mathfrak{s}_i(z)$ , which is defined as in Proposition 2.3. Hence, a holonomy representation for  $\mathfrak{h}_i(z)$  can be recovered from the holonomy representation of  $\mathfrak{s}_i(z)$  as in Lemma 2.6, because the hyperbolic holonomy is the conformal extension of the similarity holonomy.

Then, using [3] as in the proof of Proposition 2.3, after composing with a hyperbolic isometry we deduce that the following is a developing map of  $\mathfrak{h}_i(z)$  on the cusp  $C_i$ :

$$\begin{aligned} \mathbb{R}^2 \times [0, \infty) &\rightarrow \mathbb{H}^3 \cong \mathbb{C} \times (0, \infty) \\ (x, y, t) &\mapsto \begin{cases} (\exp(u_i(z)x + v_i(z)y), \exp(t + \Re(u_i(z)x + v_i(z)y))) & \text{if } u_i(z) \neq 0 \\ (a_i(z)x + b_i(z)y, \exp(t)) & \text{otherwise.} \end{cases} \end{aligned}$$

Since the argument of [3] applies only to compact manifolds, we apply it to  $\mathbb{R}^2 \times [0, t_n]$  and we consider the limit when  $t_n \rightarrow \infty$ . This proves the first assertion of the proposition.

When  $u_i(z) = 0$ , it follows from the expression of this developing map that the end is complete, as proved in [1], [11] or [13].

Assume from now to the end of the proof that  $u_i(z) \neq 0$ . The image of  $\mathbb{R}^2 \times \{t\}$  is precisely the set of points that are at a fixed distance from the geodesic  $\gamma$  with endpoints 0 and  $\infty$ , which is the geodesic fixed by the holonomy representation. Actually, this distance tends to 0 as  $t$  goes to  $\infty$ . More precisely, the image of  $\mathbb{R}^2 \times [t, \infty)$  is exactly  $U_{r(t)}(\gamma) \setminus \gamma$ , where  $U_r$  denotes the tubular  $r$ -neighbourhood, and  $r(t) \rightarrow 0$  as  $t \rightarrow \infty$ .

Let  $n_i, m_i \in \mathbb{Z}$  be such that  $p_i \cdot n_i - q_i \cdot m_i = 1$ . The quadrilateral  $Q \subset \mathbb{R}^2$  with vertices  $(0, 0)$ ,  $(p_i, q_i)$ ,  $(p_i + m_i, q_i + n_i)$  and  $(m_i, n_i)$  is a fundamental domain for the action of  $\mathbb{Z}^2$  on  $\mathbb{R}^2$ . We can also describe  $Q$  as:

$$Q = \{(x, y) \in \mathbb{R}^2 : 0 \leq n_i x - m_i y \leq 1, 0 \leq -q_i x + p_i y \leq 1\}.$$

The orbit of  $Q$  under the action of the cyclic group generated by  $(m_i, n_i)$ , which corresponds to  $m_i \lambda_i + n_i \mu_i$  in  $\pi_1(T_i)$ , is the strip  $S = \{(x, y) \in \mathbb{R}^2 : 0 \leq n_i x - m_i y \leq 1\}$ .

First we deal with the case where the relation  $p_i \cdot u_i(z) + q_i \cdot v_i(z) = 2\pi\sqrt{-1}$  is satisfied. For fixed  $t \in [0, \infty)$ , the restriction of the developing map to  $S \times \{t\}$  glues one side of  $S$  to the other one, and its image is precisely  $\partial U_{r(t)}(\gamma)$ , *i.e.* the set of points at distance  $r(t)$  from  $\gamma$ . In other words, the developing map restricted to  $\mathbb{R}^2 \times \{t\}$  induces the universal covering of the cylinder  $\partial U_{r(t)}(\gamma)$ , and the deck transformation group is the cyclic group generated by  $(p_i, q_i)$ , which corresponds to  $p_i \lambda_i + q_i \mu_i$  in  $\pi_1(T_i)$ . This description implies that  $C_i$  is isometric to the quotient of  $U_{r(0)}(\gamma) \setminus \gamma$  under the action of the holonomy of  $m_i \lambda_i + n_i \mu_i$ . This action extends to a discrete and free action on the whole of  $U_{r(0)}(\gamma)$ , so the completion of  $C_i$  is obtained by adding the quotient of  $\gamma$ , and the result is a genuine hyperbolic manifold. Topologically, this manifold is precisely the Dehn filling with meridian  $p_i \lambda_i + q_i \mu_i$ .

In the general case we have  $p_i \cdot u_i(z) + q_i \cdot v_i(z) = 2\pi\sqrt{-1}/r_i$ , and we replace  $\mathbb{H}^3$  by a singular space denoted by  $\mathbb{H}_{\alpha_i}^3$ , where  $\alpha_i = 2\pi/r_i$ . The space  $\mathbb{H}_{\alpha_i}^3$  has a singular line  $\Sigma \cong \mathbb{R}$ ,  $\mathbb{H}_{\alpha_i}^3 \setminus \Sigma$  has a non-complete hyperbolic metric and the singularity on  $\Sigma$  is conical with angle  $\alpha_i = 2\pi/r_i$ . In cylindrical coordinates the metric on  $\mathbb{H}_{\alpha_i}^3 \setminus \Sigma$  has the form:

$$ds^2 = dr^2 + \left(\frac{\alpha_i}{2\pi}\right)^2 \sinh^2(r) d\vartheta^2 + \cosh^2(r) dh^2$$



where  $r \in (0, +\infty)$  is the distance to  $\Sigma$ ,  $\vartheta \in [0, 2\pi)$  is the angular parameter and  $h \in \mathbb{R}$  is the height.

The developing map  $\tilde{C}_i \rightarrow \mathbb{H}^3 \setminus \gamma$  induces a developing map  $\tilde{C}_i \rightarrow \mathbb{H}_{\alpha_i}^3 \setminus \Sigma$ , because the universal coverings of  $\mathbb{H}^3 \setminus \gamma$  and of  $\mathbb{H}_{\alpha_i}^3 \setminus \Sigma$  are isometric. Then the argument in the non-singular case above (where  $r_i = 1$ ) applies to the singular case after replacing the pair  $(\mathbb{H}^3, \gamma)$  by  $(\mathbb{H}_{\alpha_i}^3, \Sigma)$ . The completion is of course in this case a cone manifold with cone angle  $\alpha_i$  along the loop added. 2.7

Proposition 2.7 and the discussion preceding it imply point 4 in Theorem 2.2. We are only left to establish point 2, which we do now.

**Proposition 2.9.** *If  $z \in \mathcal{D}$  and  $u_1(z) = \dots = u_k(z) = 0$  then  $z = z^{(0)}$ .*

*Proof of 2.9.* Having already established point 1 in Theorem 2.2, we can rephrase the statement as follows: *if  $\mathcal{D}_0$  is the set of solutions  $z$  in  $\mathcal{U}$  of both  $\mathcal{C}_T$  and  $\mathcal{M}_T$ , then  $z^{(0)}$  is an isolated point of  $\mathcal{D}_0$ .* Assume this is not the case. Since  $\mathcal{D}_0$  is an analytic space, we can find a non-constant curve in  $\mathcal{D}_0$  starting at  $z^{(0)}$ . Therefore, at least one of the coordinates  $z_j$  assumes uncountably many different values on  $\mathcal{D}_0$ .

Now, by Proposition 2.7, every  $z \in \mathcal{D}_0$  defines on  $M$  a complete finite-volume hyperbolic structure, which must be isometric to the original structure by Mostow rigidity. It follows that for all  $z \in \mathcal{D}_0$  the original manifold  $M$  contains a geodesic ideal tetrahedron, possibly flat and with some paired faces, of modulus  $z_j$  or  $\overline{z_j}$ , depending on whether  $\Im(z_j)$  is non-negative or non-positive. In particular, under the assumption that  $z^{(0)}$  is not isolated,  $M$  contains uncountably many pairwise non-isometric (possibly flat) geodesic ideal tetrahedra.

Let us consider now the universal covering  $\mathbb{H}^3 \rightarrow M$ , on which the group of deck transformations acts as a subgroup of  $\text{Isom}^+(\mathbb{H}^3)$  identified to  $\pi_1(M)$ . It is very easy to see that each geodesic ideal tetrahedron contained in  $M$  is actually the projection of the convex hull of 4 points of  $\partial\mathbb{H}^3$  which are fixed points of parabolic elements of  $\pi_1(M)$ . Since  $\pi_1(M)$  is countable and each parabolic element has one fixed point, we see that in  $M$  there are at most countably many pairwise non-isometric (possibly flat) geodesic ideal tetrahedra. This gives a contradiction and concludes the proof. 2.9

### 3 Hyperbolic filling parameters

The aim of this section is to show that the set of parameters  $(c_1, \dots, c_k)$  arising as in Theorem 2.2(4) covers a neighbourhood of  $(\infty, \dots, \infty)$  in  $(\mathbb{Z}^2 \sqcup \{\infty\})^k$ . This will imply the conclusion of the proof. We will start with a combinatorial argument due to Neumann and Zagier [9], which shows that the space  $\mathcal{D}$  of deformed structures is sufficiently big (*i.e.* it has (complex) dimension exactly  $k$ ). Later we will modify the approach of [9] to avoid the assumption that  $z^{(0)}$  is a smooth point of  $\mathcal{D}$ .

Note first that the expressions  $z$ ,  $1/(1-z)$  and  $1-1/z$  can all be rewritten as  $\delta_0 \cdot z^{\delta_1} \cdot (1-z)^{\delta_2}$  for suitable  $\delta_0, \delta_1, \delta_2 \in \{\pm 1\}$ . Recall that our ideal triangulation  $\mathcal{T}$  of  $M$  consists of tetrahedra  $\Delta_j$ ,  $j = 1, \dots, n$ , and  $\partial M$  consists of tori  $T_i$ ,  $i = 1, \dots, k$ .

**Lemma 3.1.**  $\mathcal{T}$  contains  $n$  edges.

*Proof of 3.1.* Since  $\partial\overline{M}$  is made of tori,  $\chi(\overline{M}) = 0$ . Hence  $\chi(\widehat{M}) = k$ , because each torus is collapsed to a point. In  $\mathcal{T}$  there are twice as many faces as tetrahedra, so  $k = k - (\# \text{edges}) + 2n - n$ , whence the conclusion.  $\square$  3.1

Let us list the edges in  $\mathcal{T}$  as  $e_m$ ,  $m = 1, \dots, n$ . For  $m, j \in \{1, \dots, n\}$  let us define  $(\theta_1(m, j), \theta_2(m, j))$  as the sum of all pairs  $(\delta_1, \delta_2)$  over the edges  $e$  of  $\Delta_j$  which get identified to  $e_m$ , where the modulus of  $\Delta_j$  along  $e$  is  $\pm z_j^{\delta_1} (1 - z_j)^{\delta_2}$ . For suitable  $\varepsilon_m \in \{\pm 1\}$ ,  $m = 1, \dots, n$ , we can therefore write  $\mathcal{C}_{\mathcal{T}}(z)$  as

$$\prod_{j=1}^n z_j^{\theta_1(m, j)} \cdot (1 - z_j)^{\theta_2(m, j)} = \varepsilon_m, \quad m = 1, \dots, n. \quad (2)$$

Let us denote now by  $v_i$  the vertex of  $\widehat{M}$  obtained by collapsing  $T_i \subset \partial\overline{M}$ . For  $i \in \{1, \dots, k\}$  and  $m \in \{1, \dots, n\}$  we define  $x(i, m) \in \{0, 1, 2\}$  as the number of ends of  $e_m$  which get identified to  $v_i$  in  $\overline{M}$ . We have now two matrices  $X \in \mathcal{M}(k \times n, \mathbb{C})$  and  $\Theta = (\Theta_1, \Theta_2) \in \mathcal{M}(n \times 2n, \mathbb{C})$ . The entries are actually integers, but it will be convenient to view  $X$  and  $\Theta$  as complex matrices. The next two combinatorial results are due to Neumann and Zagier [9] and show that  $\mathcal{D}$  is an open portion of a complex algebraic variety of dimension at least  $k$ . We note that this result in [1] was deduced from a much harder combinatorial lemma from [9].

**Lemma 3.2.**  $X \cdot \Theta = 0$ .

*Proof of 3.2.* We must check that for all  $i$  and  $j$

$$\begin{aligned} \sum_{m=1}^n x(i, m) \cdot \theta_1(m, j) &= \sum_{m=1}^n x(i, m) \cdot \theta_2(m, j) = 0 \\ \text{i.e.} \quad \sum_{m=1}^n x(i, m) \cdot (\theta_1(m, j), \theta_2(m, j)) &= 0. \end{aligned}$$

We can rewrite the last sum as

$$\begin{aligned} & \sum_{m=1}^n \sum_{\substack{v \text{ endpoint of } e_m \\ v \text{ identified to } v_i}} \sum_{\substack{e \text{ edge of } \Delta_j \\ e \text{ identified to } e_m \\ \text{mod}(\Delta_j|e) = \pm z_j^{\delta_1} (1 - z_j)^{\delta_2}}} (\delta_1, \delta_2) \\ &= \sum_{\substack{v \text{ vertex of } \Delta_j \\ v \text{ identified to } v_i}} \sum_{\substack{e \text{ edge of } \Delta_j \\ e \text{ contains } v \text{ as endpoint} \\ \text{mod}(\Delta_j|e) = \pm z_j^{\delta_1} (1 - z_j)^{\delta_2}}} (\delta_1, \delta_2) \\ &= \sum_{\substack{v \text{ vertex of } \Delta_j \\ v \text{ identified to } v_i}} \left( (1, 0) + (0, -1) + (-1, 1) \right) = 0. \end{aligned}$$

This concludes the proof.  $\square$  3.2

**Lemma 3.3.**  $\text{rank}_{\mathbb{C}}(X) = k$ .

*Proof of 3.3.* Let  $a_1, \dots, a_k \in \mathbb{C}$  be such that  $(a_1, \dots, a_k) \cdot X = 0$ , i.e.

$$\sum_{i=1}^k a_i \cdot x(i, m) = 0, \quad m = 1, \dots, n.$$

Using the definition of  $X$ , this means that  $a_{i_0} + a_{i_1} = 0$  whenever  $v_{i_0}$  and  $v_{i_1}$  are the ends of some edge in  $\widehat{M}$ . If we examine a face of some  $\Delta_j$  having vertices  $v_{i_0}$ ,  $v_{i_1}$  and  $v_{i_2}$ , the three edges of the face yield respectively the relations

$$a_{i_0} + a_{i_1} = 0, \quad a_{i_0} + a_{i_2} = 0, \quad a_{i_1} + a_{i_2} = 0.$$

Therefore  $a_i = 0$  for  $i = 1, \dots, k$ , and the conclusion follows. 3.3

**Corollary 3.4.**  $\text{rank}_{\mathbb{C}}(\Theta) \leq n - k$ , in particular  $k \leq n$ .

Going back to the system  $\mathcal{C}_{\mathcal{T}}$  written as in formula (2), we can now show that it can be replaced by a system of  $n - k$  equations only. This fact, even if not explicitly stated in [9], was certainly known to the authors. We reproduce here with minor improvements the proof given in [1]. For the sake of simplicity we rearrange the edges  $e_1, \dots, e_n$  in such a way that the last  $k$  rows of  $\Theta$  are linearly dependent on the first  $n - k$ .

**Proposition 3.5.**

$$\mathcal{D} = \left\{ z \in \mathcal{U} : \prod_{j=1}^n z_j^{\theta_1(m,j)} \cdot (1 - z_j)^{\theta_2(m,j)} = \varepsilon_m, \quad m = 1, \dots, n - k \right\}.$$

*Proof of 3.5.* We can choose continuous branches of the logarithm function near  $z_j^{(0)}$  and  $(1 - z_j^{(0)})$ ,  $j = 1, \dots, n$ , and assume that the neighbourhood  $\mathcal{U}$  of  $z^{(0)}$  used to define  $\mathcal{D}$  is small enough that  $\log(z_j)$  and  $\log(1 - z_j)$  are defined for  $z \in \mathcal{U}$ . By the properties of the exponential map there exist constants  $r_m \in \mathbb{Z}$ ,  $m = 1, \dots, n$ , such that

$$\sum_{j=1}^n \left( \theta_1(m, j) \log(z_j^{(0)}) + \theta_2(m, j) \log(1 - z_j^{(0)}) \right) = \sqrt{-1} \pi (2r_m + (1 - \varepsilon_m)/2).$$

By continuity, if  $\mathcal{U}$  is small enough, for  $z \in \mathcal{U}$  and  $m \in \{1, \dots, n\}$  the next two equations are equivalent:

$$\prod_{j=1}^n z_j^{\theta_1(m,j)} \cdot (1 - z_j)^{\theta_2(m,j)} = \varepsilon_m, \quad (3)$$

$$\sum_{j=1}^n \left( \theta_1(m, j) \log(z_j) + \theta_2(m, j) \log(1 - z_j) \right) = \sqrt{-1} \pi (2r_m + (1 - \varepsilon_m)/2). \quad (4)$$

We have to show that the first  $n - k$  of these equations imply the last  $k$  of them. We will use the logarithm form (4) of the equations. By assumption, for  $m > n - k$  there exist  $a_m^1, \dots, a_m^{n-k} \in \mathbb{C}$  such that

$$\theta_t(m, j) = \sum_{l=1}^{n-k} a_m^l \cdot \theta_t(l, j), \quad t = 1, 2, \quad j = 1, \dots, n.$$

Therefore if  $z \in \mathcal{U}$  solves the first  $n - k$  equations we have for  $m > n - k$

$$\begin{aligned} & \sum_{j=1}^n \left( \theta_1(m, j) \log(z_j) + \theta_2(m, j) \log(1 - z_j) \right) \\ &= \sum_{j=1}^n \sum_{l=1}^{n-k} a_m^l \left( \theta_1(l, j) \log(z_j) + \theta_2(l, j) \log(1 - z_j) \right) \\ &= \sum_{l=1}^{n-k} a_m^l \sqrt{-1} \pi (2r_l + (1 - \varepsilon_l)/2). \end{aligned}$$

For  $z = z^{(0)}$  the first line equals  $\sqrt{-1} \pi (2r_m + (1 - \varepsilon_m)/2)$  so the last line has the same (constant) value, and the conclusion follows. 3.5

We note now that by Theorem 2.2(1,3) for  $i = 1, \dots, k$  we can define a function  $g_i : \mathcal{D} \rightarrow S^2 = \mathbb{R}^2 \sqcup \infty$  as  $g_i(z) = \infty$  if  $u_i(z) = 0$ , and  $g_i(z)$  as the only pair  $(p, q)$  of real numbers such that  $p \cdot u_i(z) + q \cdot v_i(z) = 2\pi\sqrt{-1}$  otherwise. The rest of this section is devoted to establishing the following result, which, together with Theorem 2.2(4), implies Theorem 2.1 and hence Theorem 0.1.

**Proposition 3.6.** *The image of  $g = (g_1, \dots, g_k) : \mathcal{D} \rightarrow (S^2)^k$  covers a neighbourhood of  $(\infty, \dots, \infty)$ .*

*Proof of 3.6.* Let us consider the homeomorphism  $\varphi_i : S^2 \rightarrow S^2$  defined by

$$\varphi_i(p, q) = \frac{2\pi\sqrt{-1}}{p + \tau_i q}$$

(we are viewing the first  $S^2$  as  $\mathbb{R}^2 \sqcup \{\infty\}$  and the second one as  $\mathbb{C} \sqcup \{\infty\}$ , and as usual  $1/0 = \infty$ ,  $1/\infty = 0$ ). We define now  $\tilde{u}_i : \mathcal{D} \rightarrow \mathbb{C}$  as  $\varphi_i \circ g_i$ . To conclude it is sufficient to show that the image of  $\tilde{u} = (\tilde{u}_1, \dots, \tilde{u}_k) : \mathcal{D} \rightarrow \mathbb{C}^k$  covers a neighbourhood of 0. Recall first the following two essential properties of  $\mathcal{D}$  already established:

1.  $\mathcal{D}$  is a (germ of) analytic variety, defined in  $\mathbb{C}^n$  as the zero set of  $n - k$  holomorphic functions.
2. There is a map  $u : \mathcal{D} \rightarrow \mathbb{C}^k$  which is the restriction of a holomorphic function on an open subset of  $\mathbb{C}^n$ , such that  $u^{-1}(\{0\}) = \{z^{(0)}\}$ .

Under these assumptions, the preparation theorem of Weierstrass [8] implies that  $u : \mathcal{D} \rightarrow \mathbb{C}^k$  is an open map (more precisely, it is a covering branched over a *real* codimension-2 set). We denote now by  $\|\cdot\|$  the usual Euclidean norm on  $\mathbb{C}^k$ , and claim that

$$\lim_{z \in \mathcal{D}, z \rightarrow z^{(0)}} \frac{\|\tilde{u} - u\|}{\|u\|} = 0. \quad (5)$$

Of course it is sufficient to show that for all  $i$

$$\lim_{z \in \mathcal{D}, u_i(z) \neq 0, z \rightarrow z^{(0)}} \frac{\tilde{u}_i - u_i}{u_i} = 0.$$

Using the relations

$$p_i \cdot u_i + q_i \cdot v_i = 2\pi\sqrt{-1}, \quad \tilde{u}_i = \frac{2\pi\sqrt{-1}}{p_i + \tau_i q_i}, \quad \frac{v_i}{u_i} \longrightarrow \tau_i, \quad \Im(\tau_i) \neq 0$$

we see that

$$\frac{\tilde{u}_i - u_i}{u_i} = \tau_i \cdot \frac{q_i}{p_i + \tau_i q_i} \cdot \left( \frac{v_i}{\tau_i u_i} - 1 \right) \longrightarrow 0$$

because  $|q_i/(p_i + \tau_i q_i)|$  is bounded from above by  $1/|\Im(\tau_i)|$ . Formula (5) is proved.

Let us consider now the function  $\|u\| : \mathcal{D} \rightarrow \mathbb{R}_+$ , denoted by  $f$ . Note that  $f^{-1}(\{0\}) = \{z^{(0)}\}$  and that  $f$  is the restriction to  $\mathcal{D}$  of an ambient map whose square is real-analytic. Since  $u : \mathcal{D} \rightarrow \mathbb{C}^k$  is open and  $u^{-1}(\{0\}) = \{z^{(0)}\}$ , we can choose a small  $R > 0$  and restrict  $\mathcal{D}$  so that  $u : \mathcal{D} \rightarrow B_R(0)$  is proper and surjective. Here  $B_R(0)$  is the open  $R$ -ball centred at 0 in  $\mathbb{C}^k$ . In the sequel  $S_r(0)$  will denote the  $R$ -sphere. For  $0 < r < R$  we also set  $\mathcal{D}_{\leq r} = f^{-1}([0, r])$ , and  $\mathcal{D}_{=r} = f^{-1}(\{r\})$ .

Using the general theory of analytic spaces [17] we can now choose a good stratification of  $\mathcal{D}$  (with respect to singularity), and assume that, away from  $z^{(0)}$ ,  $f$  is transversal to all strata. Since  $\mathcal{D}$  is defined by complex-analytic functions, its top real  $2k$ -dimensional strata are naturally oriented, and there are no strata of real dimension  $2k - 1$ . Now, for  $0 < r < R$  we consider the induced stratification of  $\mathcal{D}_{=r}$ , and orient the top real  $(2k - 1)$ -dimensional strata using  $f$  and the previous orientation. ( $\mathcal{D}_{\leq r}$  actually has a (stratified) conic structure with basis  $\mathcal{D}_{=r}$ , vertex  $z^{(0)}$  and height function  $f$ , but we will not need all this information.) Since in  $\mathcal{D}_{=r}$  there are no strata of real dimension  $2k - 2$ , we can view it as a geometric  $(2k - 1)$ -cycle. Similarly,  $\mathcal{D}_{\leq r}$  can be regarded as a  $2k$ -dimensional geometric  $\mathbb{Z}$ -chain with boundary  $\mathcal{D}_{=r}$ .

Using Sard's lemma we see that there exist arbitrarily small  $r > 0$  and regular values  $w \in S_r(0)$  for the restriction of  $u$  to all strata of both  $\mathcal{D}$  and  $\mathcal{D}_{=r}$ . Since  $u$  is complex-analytic, each preimage of  $w$  has index  $+1$  with respect to  $u$ . Orientation conventions imply that the same is true with respect to  $u|_{\mathcal{D}_{=r}}$ . Moreover  $u|_{\mathcal{D}_{=r}}$  is surjective onto  $S_r(0)$ . We deduce that  $u|_{\mathcal{D}_{=r}} : \mathcal{D}_{=r} \rightarrow S_r(0)$ , as a geometric cycle in  $S_r(0)$ , represents a *strictly positive* (in particular, non-zero) multiple of the canonical generator of  $H_{2k-1}(S_r(0)) \cong H_{2k-1}(\mathbb{C}^k \setminus \{0\})$  (we will take all homology groups with integer coefficients).

Using formula (5) we can now assume that  $r$  is small enough that  $\|\tilde{u} - u\| < \|u\|/2$  on  $\mathcal{D}_{\leq r}$ , in particular  $\|\tilde{u} - u\| < r/2$ . This implies that  $\tilde{u}(\mathcal{D}_{=r}) \subset \mathbb{C}^k \setminus \{0\}$ , moreover

$\tilde{u} : \mathcal{D}_{=r} \rightarrow \mathbb{C}^k \setminus \{0\}$  is homotopic to  $u : \mathcal{D}_{=r} \rightarrow \mathbb{C}^k \setminus \{0\}$ , whence it represents the same non-zero element of  $H_{2k-1}(\mathbb{C}^k \setminus \{0\})$ .

We claim now that  $\tilde{u}(\mathcal{D}_{\leq r})$  contains  $D_{r/2}(0)$ . Assume by contradiction that there exists  $w^{(0)} \in D_{r/2}(0) \setminus \tilde{u}(\mathcal{D}_{\leq r})$ . Note that each half-line in  $\mathbb{C}^k$  with origin in  $w^{(0)}$  meets  $S_r(0)$  exactly once, so we have a natural “radial” projection  $p : \mathbb{C}^k \setminus \{w^{(0)}\} \rightarrow S_r(0)$ . We can now consider the  $2k$ -dimensional geometric chain  $p \circ \tilde{u} : \mathcal{D}_{\leq r} \rightarrow S_r(0)$ , whose boundary  $p \circ \tilde{u} : \mathcal{D}_{=r} \rightarrow S_r(0)$  is therefore zero in  $H_{2k-1}(S_r(0)) \cong H_{2k-1}(\mathbb{C}^k \setminus \{0\})$ . Now, for  $z \in \mathcal{D}_{=r}$  we have  $\|\tilde{u}(z)\| > r/2$ . Since  $\|w^{(0)}\| \leq r/2$ , by the definition of  $p$ , the segment joining  $\tilde{u}(z)$  and  $p(\tilde{u}(z))$  does not contain 0. In particular, the geometric  $(2k-1)$ -chains  $p \circ \tilde{u} : \mathcal{D}_{=r} \rightarrow \mathbb{C}^k \setminus \{0\}$  and  $\tilde{u} : \mathcal{D}_{=r} \rightarrow \mathbb{C}^k \setminus \{0\}$  are homotopic to each other in  $\mathbb{C}^k \setminus \{0\}$ . This is a contradiction, because the former is zero in  $H_{2k-1}(\mathbb{C}^k \setminus \{0\})$  and the latter is not. Our claim is established and the proof is complete. 3.6

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Dipartimento di Matematica  
 Università di Pisa  
 Via F. Buonarroti, 2  
 I-56127 Pisa, Italy  
[petronio@dm.unipi.it](mailto:petronio@dm.unipi.it)

Universitat Autònoma de Barcelona  
 Departament de Matemàtiques  
 E-08193 Bellaterra, Spain  
[porti@manwe.mat.uab.es](mailto:porti@manwe.mat.uab.es)